



Medical Coverage Policy

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Partial Rhinectomy, Rhinoplasty, Vestibular Stenosis Repair and Septoplasty

Table of Contents

Overview ..... 2
Coverage Policy ..... 2
Health Equity Considerations..... 4
General Background..... 4
Medicare Coverage Determinations ..... 18
Coding Information ..... 18
References..... 21
Revision Details..... 28

Related Coverage Resources

- Balloon Sinus Ostial Dilatation for Chronic Sinusitis and Eustachian Tube Dilatation
Gender Dysphoria Treatment
Orthognathic Surgery
Surgical Treatments for Obstructive Sleep Apnea

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# Effective 9/15/2025

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## Overview

This Coverage Policy addresses partial rhinectomy, rhinoplasty, vestibular stenosis repair and septoplasty procedures for nasal airway obstruction and for other otolaryngology conditions related to cleft lip and cleft palate repair.

## Coverage Policy

### Partial Rhinectomy

**Coverage for partial rhinectomy varies across plans and may be subject to the provisions of a cosmetic and/or reconstructive surgery benefit. Refer to the customer's benefit plan document for coverage details.**

**Partial Rhinectomy is considered medically necessary for ANY of the following indications:**

- Malignant neoplasm of the nasal structures that cannot be adequately treated by less invasive surgical procedures or non-surgical interventions
- Extensive benign tumor or lesion causing functional impairment (e.g., airway obstruction, significant nasal deformity, or chronic recurrent infection) unresponsive to, or unsuitable for conservative medical treatment
- Severe nasal trauma resulting in irreparable damage to nasal structures requiring partial removal for restoration of function or form
- Chronic infection unresponsive to appropriate medical treatment

**Partial rhinectomy performed solely for cosmetic enhancement or patient preference, without clinical or functional justification as outlined above, is considered not medically necessary and is not covered.**

### Rhinoplasty & Vestibular Stenosis Repair

**Coverage for rhinoplasty varies across plans and may be subject to the provisions of a cosmetic and/or reconstructive surgery benefit and may be governed by state and/or federal mandates. Refer to the customer's benefit plan document for coverage details.**

**Rhinoplasty is considered medically necessary for ANY of the following indications:**

- Correction or repair of a nasal deformity secondary to a cleft lip/palate or other severe congenital craniofacial deformity (e.g., maxillonasal dysplasia, Binder's syndrome, facial clefts) in a child five years of age or younger.

## Effective 9/15/2025

- Correction or repair of a nasal deformity secondary to a cleft lip/palate or other severe congenital craniofacial deformity (e.g., maxillonasal dysplasia, Binder's syndrome, facial clefts) in a child that is six years of age or older that is causing a functional impairment (i.e., nasal obstruction, inadequate airflow, feeding difficulties) when BOTH of the following criteria are met:
  - photographic evidence of the anatomical abnormality including frontal, lateral and worm's eye view (e.g., nasal base)
  - the functional impairment is expected to be resolved by the rhinoplasty
- Correction or repair of a nasal deformity secondary to trauma that is causing a functional impairment (i.e., nasal obstruction, inadequate airflow) and ALL of the following criteria are met:
  - nasal airway obstruction is poorly responsive to a recent six-week trial of conservative medical management (e.g., topical/nasal corticosteroids, antihistamines)
  - photographic evidence of the anatomical abnormality including frontal, lateral and worm's eye view (e.g., nasal base)
  - the functional impairment has either not resolved after previous septoplasty/turbinectomy or would not be expected to resolve with a septoplasty/turbinectomy alone
  - the functional impairment is expected to be resolved by the rhinoplasty

**Vestibular stenosis repair is considered medically necessary when there is chronic nasal obstruction due to vestibular stenosis (i.e., collapsed internal valves) and there is demonstration of improvement of the airway by EITHER of the following methods:**

- Cottle maneuver
- lateralization of the upper lateral cartilage from inside the nose with an object (e.g., cotton swab or nasal speculum)

**Each of the following procedures is considered experimental, investigational and unproven:**

- repair of nasal valve collapse with absorbable nasal implant(s) (e.g., Latera)
- radiofrequency of nasal valve for the treatment of nasal airway obstruction (e.g., VivAer ARC Stylus)

**Rhinoplasty or vestibular stenosis repair when performed for EITHER of the following indications is considered cosmetic in nature and/or not medically necessary:**

- solely for the purpose of changing appearance
- as a primary treatment for an obstructive sleep disorder when the above criteria for approval have not been met

### **Septoplasty**

**Septoplasty is considered medically necessary when performed for ANY of the following indications:**

- septal deviation causing nasal airway obstruction resulting in prolonged or chronic nasal breathing difficulty or mouth breathing that has proved poorly responsive to a recent trial of conservative medical management (e.g., topical/nasal corticosteroids, antihistamines)
- recurrent epistaxis related to a septal deformity

## Effective 9/15/2025

- performed in association with a covered cleft lip or cleft palate repair
- obstructed nasal breathing due to septal deformity or deviation that has proved poorly responsive to medical management lasting at least six weeks and is interfering with the effective use of medically necessary continuous positive airway pressure (CPAP) for the treatment of an obstructive sleep disorder (i.e., obstructive sleep apnea with an apnea/hypopnea index [AHI]  $\geq 15$  as documented by polysomnography or home/portable sleep study)

**Septoplasty for any indication not listed above is not covered or reimbursable.**

**Balloon dilation septoplasty for treatment of septal deviation is considered experimental, investigational and unproven.**

### Health Equity Considerations

Health equity is the highest level of health for all people; health inequity is the avoidable difference in health status or distribution of health resources due to the social conditions in which people are born, grow, live, work, and age.

Social determinants of health are the conditions in the environment that affect a wide range of health, functioning, and quality of life outcomes and risks. Examples include safe housing, transportation, and neighborhoods; racism, discrimination and violence; education, job opportunities and income; access to nutritious foods and physical activity opportunities; access to clean air and water; and language and literacy skills.

Healthcare disparities in rhinology are well established in certain domains, such as allergic fungal rhinosinusitis (AFRS) and exposures to allergens, fungus, and pollution that contribute to allergic rhinitis, upper airway disease, and asthma. A cohort study investigating endoscopic sinus surgery (ESS) outcomes reported that only 18% of the chronic rhinosinusitis (CRS) patients electing ESS belonged to underrepresented groups compared to the national average of 35%. Patients from under-represented racial and ethnic groups are underrepresented ESS outcome studies and CRS clinical trials. Likewise, among participants in prospective CRS clinical trials between 2010 and 2020 in the USA, 81.67% identified as White, 5.35% as Black, 1.27% as Asian, and 0.12% as Native American. Differences in survival, disease recurrence, and overall mortality have also been noted based on race, ethnicity, socioeconomic status (SES) and insurance status. White patients are significantly more likely to have seen a physician for their sinonasal symptoms compared to patients who identify as Hispanic/Latinx and Native American. Language disparities also exist. Language barriers may also have a role in otolaryngologic care. An individual who is English-speaking is more likely to see a physician for their sinonasal symptoms compared to those who are Spanish-speaking (Batool, et al., 2023).

### General Background

The anatomy of the nose is made up of two main structural layers: the outer layer which contains the nasal soft tissues, lower lateral (alar) cartilages (lateral, middle and medial crura), and the associated linings; and the inner layer which contains the bony and upper cartilaginous vaults, the nasal septum, and their associated linings. The nasal region contains several nasal muscles, two of which are clinically significant: the levator labii alaeque nasi, which keeps the nasal valve open; and the depressor septi nasi, which shortens the upper lip and decreases tip projection. The external anatomy of the nose consists of several anatomic landmarks that includes the radix, dorsum, supratip, tip, columella, nostrils, and alar rims.

# Effective 9/15/2025

## **Partial Rhinectomy**

Rhinectomy is a surgical procedure to remove all or part of the nose. When part of the nose is removed, it is called partial rhinectomy. Partial rhinectomy involves the surgical removal of a portion of the external nose and may include skin, cartilage and possibly bone, depending on the extent of the disease. This procedure is typically performed to excise malignant or extensive benign tumors, or to address severe trauma, with a goal of disease control and functional preservation. Reconstruction may be necessary to restore nasal form and function. Partial rhinectomy is indicated for: malignant tumors (e.g., squamous cell carcinoma or melanoma) where excision is necessary for disease control; extensive benign tumors that cause functional impairment (e.g., airway obstruction) and are unresponsive to conservative treatments; or severe nasal trauma resulting in nonviable tissue requiring surgical removal. Prior to considering partial rhinectomy, less invasive treatments should be evaluated (Hosal, et al., 2017). Medical management for benign conditions include options like medications or minor surgical interventions. In certain malignancies, radiation may be considered as an alternative or adjunct to surgery. Partial rhinectomy is typically reserved for cases where conservative measures are ineffective or inappropriate.

## **Rhinoplasty**

Rhinoplasty is a surgical procedure to correct a nasal deformity or to change the appearance of the nose. Although it is typically performed for cosmetic purposes to correct or improve the external appearance of the nose, there may be situations when it is considered reconstructive in nature. Rhinoplasty may be an open or closed procedure. Nasal deformities may be congenital (e.g., cleft lip/palate) or acquired (e.g., trauma, disease, ablative surgery). Nasal traumas may result in significant functional defects and nasal obstruction. The current management for many nasal injuries is closed reduction of nasal fractures. A second operation may be needed to treat the nasal deformity secondary to trauma that is causing a functional impairment (e.g., nasal obstruction, inadequate airflow). Conservative medical management should be attempted before surgical treatment is considered. Treatment may include antihistamine and decongestant use as well as topical steroid management. After trauma, there may be limited, specific situations where the nasal obstruction cannot be expected to be corrected by a septoplasty procedure alone (Kridel, et al., 2010).

## **Vestibular Stenosis Repair**

Vestibular stenosis or collapse of the internal valves may be a cause of nasal obstruction. The nasal valve refers to tissue that acts as a bridge between the bony skeleton and the nasal tip and can account for approximately half of the total airway resistance of the entire upper and lower respiratory tract. Nasal valve compromise may account for nasal airway obstruction. The causes of internal nasal valve obstruction may include previous surgery, trauma, facial paralysis, and cleft lip nasal deformities (Schlosser and Park, 1999). The nasal valve has internal and external components. The internal nasal valve is the narrowest portion of the nasal cavity and compromise of these components of the valve may create symptoms of nasal obstruction. Deformities of the adjacent nasal septum or loss of anatomic support structures can predispose the valve to collapse or narrowing, which may cause airway obstruction. The upper lateral cartilage at its junction with the septum may be thickened, twisted, or concave because of weakness, trauma or prior surgery.

The external valve is a laterally based space that is surrounded by the anterior nasal opening in the skull, the upper lateral cartilage and lower lateral cartilage attachments, and the caudal septum (Kridel, et al., 2010).

A consensus panel was convened by the American Academy of Otolaryngology-Head and Neck Surgery (AAO-HNS) to create a clinical consensus statement for the diagnosis and management of nasal valve compromise (NVC) (Rhee, et al., 2010). The statement included:

## Effective 9/15/2025

- NVC is a distinct clinical entity for patients who present with symptomatic nasal airway obstruction and is best evaluated with history and physical examination findings
- Audible improvement in nasal airflow during a Cottle maneuver (manual lateral retraction of the cheek) or manual intranasal lateralization of the lateral nasal wall is consistent with NVC
- Endoscopy and photographs may be useful, but are not routinely indicated
- Radiographic studies are not useful in evaluating NVC
- Nasal steroid medication is not useful for treatment of NVC in absence of rhinitis
- Mechanical treatments (e.g., nasal strips, stents, or cones) may be useful in selected patients
- Surgical treatment is the primary mode of treatment of NVC. The panel met consensus that surgical procedure that is targeted to support the lateral nasal wall/alar rim is a distinct entity from procedures that correct a deviated nasal septum or hypertrophied turbinate.

The Cottle maneuver is a test of nasal valve integrity. It can be performed by retracting the cheek laterally, pulling the upper lateral cartilage away from the septum and widening the internal nasal valve angle. If the patient's symptoms are relieved with this maneuver, it suggests that the cause of the nasal airway obstruction is related to the nasal valve area (e.g., dorsal septal deviation, lack of upper lateral cartilage integrity) (Chandra, et al., 2009). Another technique to evaluate the nasal valves involves using an object (e.g., cotton swab or nasal speculum) to lateralize the upper lateral cartilage from inside the nose, and the patient is asked if their symptoms are improved. This technique allows direct observation of the nasal valve area as it widens (Chandra, et al., 2009).

### **Latera Absorbable Nasal Implant for Nasal Vestibular Lateral Wall Stenosis**

The Latera implant is designed to support the lateral nasal cartilage. It is intended to treat nasal valve collapse, which may lead to nasal obstruction and difficulty breathing. According to the vendor, it is endoscopically placed inside the nasal wall in a minimally invasive procedure by otolaryngologists or plastic surgeons using the manufacturer provided accessory delivery device. The implant is intended to support the nasal cartilage and potentially reduce the symptoms of airway obstruction. It is composed of poly L-lactic acid (PLLA) and poly D-lactic acid (PDLA) copolymer materials and is designed to be absorbed by the body within approximately 18 months after implantation.

### **U.S. Food and Drug Administration (FDA)**

June 2016, the Spirox Latera Absorbable Nasal Implant System (Spirox, Menlo Park, CA) received 510(k) clearance intended to support cartilage in the nasal lateral wall.

The System consists of the Latera Absorbable Nasal Implant and Accessory Delivery Device. The Implant is composed of a PLLA-PDLA copolymer that is cylindrical in shape with an approximate diameter of one mm and overall length of 24 mm. The distal end of the implant is forked to facilitate anchoring during implantation and the proximal end is narrower for increased flexibility. The disposable delivery device is comprised of a non-patient contacting handle assembly and a medical grade stainless steel 16-gauge delivery cannula. The delivery device enables placement of the implant in a minimally invasive manner.

### **Literature Review – Latera Absorbable Nasal Implant**

Bikhazi et al. (2021) reported the long-term follow up from the treatment and crossover arms of a randomized controlled trial (RCT) of an absorbable nasal implant for dynamic nasal valve collapse (DNVC) which was originally reported by Stolovitzky (2019). A total of 137 participants (71 treatment, 66 sham) were enrolled and treated in the original randomized cohort. Cross-over was offered to qualified sham participants at three months post implant. The forty remaining sham participants underwent a crossover procedure, resulting in 111 total participants in the combined

## Effective 9/15/2025

treatment and crossover arms for long-term follow-up. Of the 111 subjects implanted, 88 completed the 12 month visit and 68 completed the 24 month visit. Inclusion criteria were comprised of a baseline NOSE score  $\geq 55$  and a positive modified Cottle maneuver. Additionally, participants were required to have documentation of lack of benefit or tolerability of at least 4 weeks of conservative medical management (e.g., nasal steroids or antihistamines). Participants were excluded if they required concurrent nasal procedures or had undergone endoscopic sinus surgery, septoplasty, inferior turbinate reduction, or rhinoplasty within six months before enrollment. External nasal dilators were not permitted during the study. Primary outcome measures included improvement in nasal obstruction (NOSE) scores and nasal airflow. A responder was defined as a participant with at least one NOSE class improvement or a NOSE score reduction of  $\geq 20\%$  compared with baseline. Secondary measures addressed patient satisfaction, QOL and improvement in sleep quality via the Epworth Sleepiness Scale (ESS). The mean patient reported visual analog score (VAS) reduction was  $\geq 29.7$  points and statistically significant ( $p < 0.001$ ) at all time points. As mentioned, subject participation declined over the 24-month period. The worst-case analysis resulted in lower NOSE responder rates and changes from baseline, especially at the 18-month and 24-month visits where there were more missing values. The authors assumed no change from baseline for all missing values and the NOSE responder rates at 18 months and 24 months, respectively, were 61.1% (95% CI 51.3%, 70.3%) and 55.0% (95% CI 45.2%, 64.6%). They determined the mean change from baseline remained statistically significant at  $-27.3$  at 18 months and  $-23.9$  at 24 months (both  $p < 0.001$ ). The mean baseline ESS value for the whole participant cohort was within the normal range ( $ESS \geq 10$ ). While the changes in scores were statistically significant ( $p < 0.001$ ), the clinical impact was unclear. The authors suggested reduction in nasal symptoms possibly reduced daytime sleepiness for patients who had problems with sleep quality. A total of 34 device/ procedure-related adverse events were reported in 26 participants. The most common adverse events reported among the 111 participants included: implant migration/retrieval (9%); pain or discomfort (4.5%); bumps on nose (3.6%); foreign body sensation (3.6%). Five participants underwent re-implant after device extrusion at a median of 21 days (range 0–133 days) after the initial placement. All device/ procedure related adverse events were considered mild to moderate in severity and resolved without clinical sequelae or were ongoing but stable at study completion. Study limitations included the lack of long-term follow-up of the control arm, significant loss of study participants to follow-up at 18 and 24 months, lack of objective assessment of nasal valve collapse and uneven distribution of participants of varying race or ethnicity. The authors concluded that the Latera absorbable implant was a safe and effective in-office treatment option for DNVC in patients with severe to extreme nasal obstruction with maintained symptom improvement at 24 months post placement.

Kim et al. (2020) reported on a systematic review with meta-analysis to determine the efficacy of bioabsorbable nasal implant for treating nasal obstruction caused by lateral wall insufficiency (LWI). Five studies ( $n=396$ ) were included in the study. Studies that scored endoscopic lateral wall movement and nasal obstruction related to quality of life (QOL) postoperatively before and after bioabsorbable nasal implants and those that compared the outcomes of nasal implants (treatment group) with outcomes of sham surgery (control group) were included in the analysis. The study found that bioabsorbable nasal implants significantly reduced endoscopic lateral wall motion compared to pretreatment values and improved QOL at 12 months postoperatively. Most adverse effects following the nasal implant, such as skin or mucosal reaction, infection, or implant retrieval, were reported with a 5% incidence rate. All adverse outcomes were resolved without significant sequelae. Compared with sham surgery, bioabsorbable nasal implants significantly improved disease specific QOL. The authors concluded that bioabsorbable nasal implants may reduce nasal wall movement and subjective symptom scores compared to preoperative status, however more randomized clinical trials must be conducted to further verify the effectiveness of bioabsorbable nasal implants. The authors noted that larger comparative studies or well-designed

## Effective 9/15/2025

randomized clinical trials with outcomes based on validated patient-reported outcome measures are still required to provide more definitive recommendations.

Sidle et al. (2020) conducted a prospective, multicenter, nonrandomized study to examine 12-month outcomes for in-office treatment of dynamic nasal valve collapse (NVC) with a bioabsorbable implant. The study included 166 patients with severe-to-extreme class of Nasal Obstruction Symptom Evaluation (NOSE) scores that were treated with a bioabsorbable implant (Latera) to support the lateral wall, with or without concurrent inferior turbinate reduction (ITR), in an office setting. NOSE scores and Visual Analog Scale (VAS) were measured at baseline and one, three, six, and 12 months postoperatively. The Lateral Wall Insufficiency (LWI) score was determined by independent physicians observing the lateral wall motion video. One hundred five patients were treated with implant alone, whereas 61 had implant + ITR. Thirty-one patients reported 41 adverse events, all of which resolved with no clinical sequelae. There was reduction in NOSE scores throughout 12 months postoperatively ( $77.4 \pm 13.4$  baseline vs.  $36.2 \pm 22.7$  at one month postoperatively,  $33.0 \pm 23.4$  at 3 months,  $32.1 \pm 24.6$  at six months, and  $30.3 \pm 24.3$  at 12 months;  $P < 0.001$ ). There was significant reduction in VAS scores postoperatively ( $69.7 \pm 18.1$  baseline vs.  $31.3 \pm 27.1$  at 12 months postoperatively,  $P < 0.001$ ). The results were similar in patients treated with implant alone and those treated with the implant + ITR. Consistent with patient-reported outcomes, postoperative LWI scores were demonstrably lower ( $1.42 \pm 0.09$  and  $0.93 \pm 0.08$  pre- and postoperatively,  $P < 0.001$ ). The authors note that limitations of this study include that this single-arm study comparing pre- and posttreatment measurements of symptoms and that a future randomized controlled study should be considered to further examine the device efficacy. The study was limited to 12 months and additional follow-up out to 24 months would be beneficial.

Stolovitzky et al. (2019) conducted a prospective, multicenter, single-blinded randomized controlled trial to evaluate minimally invasive procedure addressing dynamic nasal valve collapse (NVC) with a bioabsorbable implant (Latera) to support the lateral nasal wall. The study included 137 patients randomized into two arms: treatment arm (70 patients) and sham control arm (67 patients). Patients in the active treatment arm received the implant, delivered using a cannula inserted into the nasal lateral wall, and those in the sham control arm had an identical cannula inserted into the nasal lateral wall but received no implant. Outcome measures were followed through three months after the procedure. The primary endpoint was the responder rate (percentage of patients with reduction in clinical severity by  $\geq 1$  category or  $\geq 20\%$  reduction in Nasal Obstruction Symptom Evaluation [NOSE] score). At three months (27 patients included in the final analysis: 63 treatment; 64 sham control) responder rate was higher for the treatment arm compared to the control (82.5% vs 54.7%,  $p = 0.001$ ). Patients in the treatment arm also had a significantly greater decrease in NOSE score ( $-42.4 \pm 23.4$  vs  $-22.7 \pm 27.9$ ,  $p < 0.0001$ ) and significantly lower visual analogue scale (VAS) scores ( $-39.0 \pm 29.7$  vs  $-13.3 \pm 30.0$ ,  $p < 0.0001$ ) than the sham control arm. Seventeen patients reported 19 procedure/implant-related adverse events, all of which resolved with no clinical sequelae. The study is limited by short follow-up (three months) and single-blind design (patients were blinded but physicians were aware of the assignment) which may have introduced risk of bias.

Stolovitzky et al. (2018) reported on a multicenter, nonrandomized, single-blind study that examined six-month outcomes for treatment of lateral nasal wall insufficiency with a bioabsorbable implant. The study included 101 patients with severe-to-extreme class of Nasal Obstruction Symptom Evaluation (NOSE) scores. The patients were treated with a bioabsorbable implant designed to support lateral wall, with or without concurrent septoplasty and/or turbinate reduction procedure(s). NOSE scores and visual analog scale (VAS) were measured at baseline and one, three, and six months postoperatively. The Lateral Wall Insufficiency (LWI) score was determined by independent physicians observing the lateral wall motion video. Forty-three patients were treated with implant alone, and 58 with adjunctive procedures. Seventeen patients

## Effective 9/15/2025

reported 19 adverse events, which resolved with no clinical sequelae. Patients showed reduction in NOSE scores at one, three and six months postoperatively ( $79.5 \pm 13.5$  preoperatively,  $34.6 \pm 25.0$  at one month,  $32.0 \pm 28.4$  at three months, and  $30.6 \pm 25.8$  at six months postoperatively;  $P < 0.01$  for all). Reduction was noted in VAS scores postoperatively ( $71.9 \pm 18.8$  preoperatively,  $32.7 \pm 27.1$  at one month,  $30.1 \pm 28.3$  at three months, and  $30.7 \pm 29.6$  at six months postoperatively;  $P < 0.01$  for all). These results were similar in patients treated with the implant alone compared to those treated with the implant and adjunctive procedures. Consistent with patient-reported outcomes, postoperative LWI scores were demonstrably lower ( $1.83 \pm 0.10$  and  $1.30 \pm 0.11$  pre- and postoperatively;  $P < 0.01$ ). Limitations of the study include nonrandomized, single arm study design with short-term follow-up.

San Nicoló et al. (2017) reported on a prospective, single cohort, nonrandomized study that evaluated the safety and effectiveness of an absorbable nasal implant with 12 months follow-up. The study included 30 subjects with Nasal Obstruction Symptom Evaluation (NOSE) score 55 and isolated NVC; 14 cases were performed in an operating suite under general anesthesia and 16 cases were performed in a clinic-based setting under local anesthesia. Fifty-six implants were placed in 30 subjects. The mean preoperative NOSE score was  $76.7 \pm 14.8$ , with a range of 55 to 100. At 12 months, the mean score was  $35.2 \pm 29.2$ , reflecting an average within-patient reduction of  $-40.9 \pm 31.2$  points. The majority (76%) of the subjects were responders defined as having at least one NOSE class improvement or a NOSE score reduction of at least 20%. There were no adverse changes in cosmetic appearance at 12 months post-procedure. Three implants in three subjects required retrieval within 30 days post-procedure and resulted in no clinical sequelae. This study is limited by the small number of subjects, lack of a comparator and lack of randomization.

San Nicoló et al. (2018) reported on follow-up of the above study (San Nicoló, et al., 2017) to assess whether the safety and effectiveness of the implant persist in these patients for 24 months after the procedure. Subjects were followed up through 24 months post-procedure. The mean preoperative NOSE score was  $76.7 \pm 14.8$ , with a range of 55 to 100. At 24 months, the mean score was  $32.0 \pm 29.3$ , reflecting an average within-patient reduction of  $-44.0 \pm 31.1$  points. There were no device-related adverse events in the 12 to 24 months period. There were five subjects who exited the study prior to the 24-month follow-up.

### **Professional Societies/Organizations**

In March 2023, the American Academy of Otolaryngology-Head and Neck Surgery (AAO-HNS) published a position statement on Nasal Valve Repair. The society stated the nasal valve may be stabilized using office-based treatments, such as implants or radiofrequency treatment. They concluded, for patients requiring anatomic widening and definitive stabilization of the nasal valve, surgical treatment of nasal valve collapse, along with treatment of other possible causes of nasal airway obstruction, is required to optimize patient outcomes.

In January 2022, the American Rhinologic Society (ARS) issued a position statement in support of the use of a bioabsorbable implants to treat patients presenting with nasal airway obstruction due to nasal valve collapse.

### **Radiofrequency of nasal valve for the treatment of nasal airway obstruction (Vivaer ARC Stylus)**

The Vivaer ARC Stylus (Aerin Medical, Inc., Sunnyvale, CA) is a disposable handheld device capable of delivering bipolar radiofrequency energy to tissue. The Vivaer ARC Stylus consists of a handle, shaft and treatment tip. An array of bipolar electrodes is positioned on a non-conductive tip which is attached to a handle via a non-conductive shaft. A temperature sensor is located on the tip to monitor tissue temperature. The Stylus is intended to attach to a temperature-controlled

## Effective 9/15/2025

radiofrequency generator (Aerin Console) via a flexible cable. The Vivaer ARC Stylus is proposed to treat patients experiencing chronic nasal airway obstruction. During a treatment procedure, the clinician inserts the tip of the Vivaer ARC Stylus into a patient's nostril to deliver low power RF energy to the target tissue of the nasal airway. It is theorized that the low-power radiofrequency energy generates heat within the tissue, allowing the tissue to be repositioned by applying lateral pressure, and creating a coagulation lesion. As the lesion heals, the tissue retracts and stiffens which is thought to shrink and reshape the tissue to lessen the degree of obstruction.

### **U.S. Food and Drug Administration (FDA)**

December 2017, the Vivaer ARC Stylus received 510(k) clearance (class II, K200300) for use in otorhinolaryngology (ENT) surgery for the coagulation of soft tissue in the nasal airway, to treat nasal airway obstruction by shrinking submucosal tissue, including cartilage in the internal nasal valve area.

### **Literature Review – Radiofrequency of nasal valve for the treatment of nasal airway obstruction (Vivaer ARC Stylus)**

Han et al. (2025) reported three-year outcomes of temperature-controlled radiofrequency (TCRF) treatment of the nasal valve in patients with nasal obstruction. Two year outcomes of this multicenter single-blind randomized controlled trial were published by Silvers, et al. (2024), discussed below. In the initial study, 118 patients were enrolled and 108 received active TCRF treatment; 77 from the index arm and 31 from the crossover arm. Of the 108 patients who received active TCRF treatment, 54 reached the three-year follow-up period. The baseline mean NOSE score was 76.3% (95% confidence interval [CI], 73.6-79.1), and three-year NOSE score treatment effect was -49.4 ([95% CI, -56.5—42.4];  $p < .001$ ), representing a 64.7% improvement from baseline. Most patients reported significant improvement in sleep post-treatment compared to baseline. This study includes significant limitations. There was a high crossover rate and a high rate of attrition; approximately 50% of the enrolled trial participants were lost to follow-up. In addition, sleep improvement was measured using the Epworth Sleepiness Scale (ESS), a self-reported questionnaire; CPAP data was not captured between the initial procedure and three-year follow-up, calling into question the benefit of TCRF in OSA patients. Patients' use of nasal medication was not an enrollment criterion throughout the study, which could have had a confounding effect on symptoms.

Casale et al. (2023) aimed to assess the efficacy the novel Vivaer radiofrequency device to treat nasal obstruction through a systematic review and meta-analysis. The duo reviewed literature published through December 2021. Prospective or retrospective studies on patients seeking treatment for nasal obstruction due to nasal valve collapse with high Nasal Obstruction Symptom Evaluation (NOSE) scores (more than 55) were eligible for review. Four studies (218 patients aged 19-83 years of age) met the inclusion criteria and treated the nasal valve regions bilaterally. Studies were not eligible if patients underwent additional procedures such as septoplasty, turbinoplasty, rhinoplasty, and orthognathic surgery. In addition, studies were excluded from analysis if they did not clearly report outcomes of interest with quantifiable data or if data could not be extracted or outcomes calculated from published results. The primary outcome consisted of NOSE questionnaire results, representing the disease-specific quality of life reported by patients, comparing pre-treatment and post-treatment values during the follow-up period. Severity was classified as follows: mild (5–25 points), moderate (30–50 points), severe (55–75 points), or extreme (80–100 points). Comparisons were analyzed between pretreatment and post-treatment values, and/or between post-treatment and control (sham) outcomes during the follow-up period. Follow-up was three months. After bilateral treatment, the NOSE score was reduced at three months postoperatively. Minor adverse events were reported in the included studies, and two showed no complications. None of the studies reported changes in the external appearance of the nose. Three months after treatment, NOSE scores reduced significantly

## Effective 9/15/2025

(pre-treatment:  $76.16 \pm 6.39$ ; post-treatment:  $31.20 \pm 2.73$ ; MD: 46.13; 95% confidence interval [CI] 43.27–48.99) with moderate heterogeneity ( $I^2 = 70.1\%$ ). In the only randomized controlled study, the active group showed significantly better results than control group 3 months after treatment (active group from  $76.7 \pm 12.6$  to  $34.4 \pm 24.8$  vs control group from  $78.8 \pm 14.3$  to  $62.0 \pm 29.04$ ). Given the moderate heterogeneity of the results and the limited number of studies investigating small populations with short follow-up periods, the outcomes of this review must be considered with caution. The authors noted the risk of bias ranged from moderate to serious. The authors concluded the radiofrequency treatment using the Vivaer device could be useful for treating nasal valve collapse and significantly improved subjective breathing symptom scores. Further studies on a large scale are needed to confirm these results.

Silvers et al. (2024) published two-year outcomes for 108 patients actively treated in a prospective, multicenter, patient-blinded RCT to determine treatment effect durability and changes. The mean baseline NOSE score was 76.3 (95% CI, 73.6 to 79.1). The number of participants for two year follow decreased ( $n=71$ ). The responder rate at 3 months (86.0% [95% CI, 78.2% to 91.3%]) was sustained through two years (90.4% [95% CI, 81.5% to 95.3%]). The adjusted mean NOSE score was significantly improved over baseline at all follow-up timepoints (Figure 2 and Supplemental Table 2). The NOSE score treatment effect at 3 months (adjusted mean,  $-40.9$  [95% CI,  $-46.9$  to  $-35.0$ ];  $p<0.001$ ) was sustained through 2 years ( $-41.7$  [95% CI,  $-48.8$  to  $-34.6$ ];  $p<0.001$ ). These data represent 53.6% and 54.7% improvement from baseline at 3 months and 2 years, respectively. No new adverse events related to the TCRF device/procedure were reported through two years. There were no serious adverse events with a relationship to the trial device/procedure reported throughout the 2 years. The authors note the following as limitations: long-term follow-up was single arm; medication/nasal dilator use was not dictated by the protocol; lack of heterogeneity in the study population (predominantly white). Subpopulation analyses were exploratory and authors acknowledge need for future studies focusing on discreet subpopulations in determining optimal TCRF treatment protocols to address NAO in specific patient populations. the results of this trial may not represent the total effect that may be achievable using TCRF in a comprehensive NAO treatment protocol. Future studies that incorporate more liberal application of TCRF to address multiple NAO contributors are needed to evaluate the full potential of TCRF-based treatment of NAO.

Silvers et al. (2021) conducted a prospective, multicenter, single-blinded, randomized controlled trial comparing temperature-controlled radiofrequency device treatment of the nasal valve ( $n=77$ ) for nasal airway obstruction against a sham procedure ( $n=41$ ). Inclusion criteria included: age 18 to 85 years; seeking treatment for nasal obstruction; a baseline Nasal Obstruction Symptom Evaluation (NOSE) scale score  $\geq 55$ , nasal valve collapse as the primary or a significant contributor to the nasal obstruction; a positive response to a temporary nasal dilation measure, such as the modified Cottle maneuver; and patient dissatisfaction with medical management. Key exclusion criteria included: previous surgery of the lateral nasal wall; a severe case of septal deviation; turbinate hypertrophy; polyps; or ptotic nose tip believed to be the primary contributor to the nasal obstruction symptoms and warranting surgical intervention. After administration of topical and local anesthesia, intervention patients were treated bilaterally with the Vivaer Stylus on up to four non-overlapping areas of the nasal mucosa at the junction of the upper and lower lateral cartilage on the lateral nasal wall. For the sham procedure, the stylus was applied in the same manner but without radiofrequency energy delivery, while audible tones mimicking activation of the Aerin Console were played. Patients were assessed at intervals with a physical and endoscopic exam, NOSE scale score, a 100-mm ease-of-breathing visual analog scale (VAS), and a 100-mm VAS for nasal pain. Results are through three months, but the trial is planned to continue with follow-up through two years. At baseline, patients had a mean NOSE-scale score of 76.7 (95% confidence interval [CI], 73.8 to 79.5) and 78.8 (95% CI, 74.2 to 83.3) ( $p = 0.424$ ) in the active treatment and sham-control arms, respectively. At three months, the responder rate was significantly higher in the active treatment arm (88.3% [95% CI, 79.2%-93.7%]) vs 42.5% [95%

## Effective 9/15/2025

CI, 28.5%-57.8%];  $p < 0.001$ ). The active treatment arm had a significantly greater decrease in NOSE-scale score (mean, -42.3 [95% CI, -47.6 to -37.1] vs -16.8 [95% CI, -26.3 to -7.2];  $p < 0.001$ ). Three adverse events related to the device and/or procedure were reported, and all resolved. This study is limited by physicians not blinded which could have caused bias, medication use was not dictated by the protocol which could have impacted results, and short-term follow-up.

Yao et al. (2023) published a prospective, single-arm, multicenter study in patients >18 years with nasal airway obstruction (NAO) due to nasal valve collapse (NVC). The objective of this study was to evaluate long-term symptom improvements in patients with NAO secondary to NVC following minimally invasive temperature-controlled radiofrequency (TCRF) treatment. This study is a follow up to three month outcomes published by Yao et al. in 2021. Participants in the two year follow-up (n=91) were aged 18 years and above. Eligible subjects ( $\geq 18$  years of age) had NVC as a primary or significant contributor to their NAO. Baseline NOSE Scale scores were  $\geq 60$ . Patients also had a positive response to temporary nasal valve dilation, such as the modified Cottle maneuver. Patients expected to require an adjunctive nasal procedure within 3 months of the study procedure were deemed ineligible. Patients were treated in the nasal valve region with a TCRF device. Primary outcome were pre and post treatment NOSE scores. A total of 122 patients were treated and 91 reached 2 years. The mean baseline NOSE Scale score was 80.3 (95% CI, 78.1–82.6). The adjusted mean change in score at 2 years was 45.8 (95% CI, 53.5 to 38.1),  $p < 0.001$ ; a 57.0% improvement. The 2-year responder rate was 90.1% (95% CI, 82.3%–94.7%). Significant and sustained symptom improvement was achieved in subpopulations based on sex, age, body mass index, baseline NAO severity, nasal surgery history, NVC mechanism, septal deviation, and other anatomic contributors of NAO. No serious adverse events with a relationship to the study device and/or procedure were reported. The authors acknowledged limitations of this study which included: study design (non-blinded, single-arm studies); limitation of treatment to the internal nasal valve only (the TCRF device is indicated for treatment of soft tissues such as inferior turbinates and septal swell bodies, and the results of this present study may not represent the total effect that that may be achievable using TCRF in a comprehensive NAO treatment protocol); lack of heterogeneity in the study population. The study population was predominantly White, which limited the analysis of outcomes in patient populations with different races and ethnicities, who may have meaningful differences in nasal anatomy. The authors concluded that minimally invasive TCRF device treatment of the internal nasal valve for NAO is well tolerated and leads to significant and sustained improvement in NAO symptom severity through 2 years, including in patients with both static and dynamic NVC, septal deviation, turbinate enlargement, or prior nasal surgery. The state further studies that incorporate more liberal application of TCRF to address multiple NAO contributors are needed to evaluate the full potential of TCRF-based treatment of NAO. The subpopulation analyses were exploratory and future studies focusing on discreet subpopulations may be useful in determining optimal TCRF treatment protocols to address NAO in specific patient populations.

Yao et al. (2021) conducted a prospective, single-arm, open-label, multi-institutional study to evaluate the effectiveness of a low-power temperature-controlled radiofrequency procedure to treat the nasal valve and measure symptomatic improvement in patients diagnosed with nasal airway obstruction due to nasal valve collapse. Inclusions criteria included: age 18 years or older; NOSE Scale score  $\geq 60$ ; nasal valve was a primary or significant contributor to the patient's nasal obstruction as determined by the study investigator (based on clinical presentation, physical examination, nasal endoscopy); positive response to external nasal dilator strips (e.g., Breathe Right Strips), Q-Tip test (manual intranasal lateralization), use of nasal stents, or Cottle's Maneuver (manual lateral retraction of the cheek). Key exclusion criteria included: Prior surgical treatment of the nasal valve within six months; rhinoplasty, septoplasty, inferior turbinate reduction or other surgical nasal procedures within three months prior; anatomy that required an adjunctive surgical nasal procedure on the same day or three months after the study procedure; medical conditions which, in the opinion of the treating physician, would predispose the patient to

## Effective 9/15/2025

poor wound healing or increased surgical risk. One hundred twenty-two patients underwent radiofrequency procedure with stylus was placed on the lateral wall of the nasal valve and treatment was applied to the mucosal tissue near the caudal end of the upper lateral cartilage at non-overlapping loci. NOSE scale total scores at three months post-procedure were significantly improved relative to baseline, from 80.3 ( $\pm$  12.6; range: 60-100) to 32.9 ( $\pm$  24.2; range: 0-100),  $P < 0.001$ . At baseline, 100% of patients' total NOSE scale scores were in the 'extreme' (score of 80-100) or 'severe' (55-75) categories; at three months post-procedure this decreased to 18.5%. At the three-month visit, 91.6% of the patients had either a 20% improvement in NOSE scale total score relative to baseline or at least one severity category improvement. Ten adverse events that were considered related to the device or study procedure occurred, and all resolved during the study period. The study is limited due to lack of control group and short follow-up period.

Patients in this extended 48-month follow-up study (Jacobowitz et al., 2022) were invited to participate after completing an initial 26-week study with an extension to 24 months. The objective of this study was to assess the long-term durability of TCRF treatment of nasal valve collapse for relief of symptoms of nasal airway obstruction through 48 months in a cohort of patients enrolled in a prospective study with previously reported results. The initial study was a prospective, single-arm multicenter study enrolling patients with chronic severe nasal obstruction with nasal valve collapse identified as the primary cause of obstruction. Patients with prior nasal valve surgery or other surgical nasal procedures within the past 12 months were excluded. Medication use was not controlled during the study but patients were medically treated before surgery. Patients underwent bilateral treatment with a Vivaer device (Aerin Medical), which maintains treatment temperature at 60 degrees C. The stylus tip was placed against mucosa underlying the lower edge of the upper lateral cartilage. Three to four nonoverlapping sites on the lateral nasal wall were treated for 12 seconds. No concomitant treatments were allowed. Extended follow-up assessments included use of the validated Nasal Obstruction Symptom Evaluation (NOSE) score, completed in person, by telephone, or through mail at 36 and 48 months post-procedure ( $n=28$ ). Compared with baseline, total NOSE scores significantly improved after treatment and were maintained throughout the 48 months. NOSE scores decreased from 81.0 ( $\pm$ 9.9) at baseline to 21.6 ( $\pm$ 18.6) after 6 months (73.3% change), 25.6 ( $\pm$ 21.1) after 12 months (68.3% change), 29.3 ( $\pm$ 26.6) after 18 months (63.8% change), 22.5 ( $\pm$ 20.9) after 24 months (72.2% change), 32.3 ( $\pm$ 21.4) after 36 months (60.1% change), and 25.7 ( $\pm$ 19.1) after 48 months (68.3% change) ( $p < 0.001$  for all comparisons). Mean NOSE domain scores showed sustained improvement through 48 months, including patients with NOSE scores in the "extreme" (score of 80-100) or "severe" (score of 55-75) categories at baseline. At 48 months, 67.9% of patients had severity scores in the "no problems" or "mild" categories, 21.4% were in the "moderate" and 10.7% were in the "severe" categories, and none in the "extreme" category, representing significant changes in the proportion of patients in each category ( $p < 0.001$ ). Based on a  $\geq 15$ -point improvement on the NOSE score scale, 93.1% (27 of 29), 96.3% (26 of 27), 96.6% (28 of 29), 100% (27 of 27), 92.9% (26 of 28), and 96.4% (27 of 28) of patients were considered responders at the 6-, 12-, 18-, 24-, 36-, and 48-month follow-up times, respectively. This study was limited by its use of a single-arm design without randomized control, no control of medication usage, and small population size. Two nonparticipants were known to have undergone subsequent surgery for nasal obstruction and it is possible that the effectiveness declined in the extended follow-up nonparticipants. The authors conclude that significant and sustained improvements in symptoms of nasal airway obstruction were shown through 4 years following treatment of nasal valve collapse via a single TCRF procedure.

Jacobowitz et al. (2019) reported on a prospective, nonrandomized, multicenter case series to assess the safety and effectiveness of in-office bipolar radiofrequency treatment of nasal valve obstruction. The study included 50 patients with a Nasal Obstruction Symptom Evaluation scale (NOSE) score  $\geq 60$  and clinically diagnosed with dynamic or static internal nasal valve obstruction as primary or significant contributor to obstruction and were required to have a positive response

## Effective 9/15/2025

to nasal mechanical dilators or lateralization maneuvers. Bilateral radio-frequency treatment was applied intranasally using a novel device (Aerin Medical's Vivaer Stylus), under local anesthesia in a single session. Safety and tolerance were assessed by event reporting, inspection and Visual Analogue Scale (VAS) for pain. Efficacy was determined using the NOSE score and patient-reported satisfaction survey at 26 weeks. No device or procedure-related serious adverse events occurred. Soreness, edema and crusting resolved by one month. The mean baseline NOSE score was 79.9 (SD 10.8, range 60-100), and all had severe or extreme obstruction. At 26 weeks, mean NOSE score was 69% lower at 24.7 ( $P < .0001$ ) with 95% two-sided confidence intervals 48.5 to 61.1 for decrease. The decrease in NOSE score did not differ significantly between patients who did or did not have prior nasal surgery. Patient satisfaction mean by survey was 8.2 of 10. The study is limited by the small number of patients, lack of randomization, uncontrolled and lack of comparator, and short-term follow-up.

Ephrat et al. (2021) conducted a study to determine whether the results achieved with radiofrequency treatment at six months would be sustained through 24 months (follow-up to the above study [Jacobowitz, et al., 2019]). The study included 39 patients from original cohort of 49 patients with severe to extreme Nasal Obstruction Symptom Evaluation (NOSE) Scale scores and dynamic or static internal nasal valve obstruction as the primary or significant contributor to obstruction were studied. Patients received intranasal bilateral radiofrequency treatment in a clinical study with a follow-up to six months, and were prospectively evaluated at 12, 18, and 24 months. The patient-reported NOSE Scale score and 21 QOL questions were assessed. Clinically significant improvement from baseline in NOSE Scale score change demonstrated at six months (mean, 55.9; standard deviation [SD], 23.6;  $p < 0.0001$ ) was maintained through 24 months (mean, 53.5; SD, 24.6;  $p < 0.0001$ ). Responders ( $\geq 15$ -point improvement) consisted of 92.3% of participants at six months and 97.2% at 24 months. Responses to the QOL questions also showed improvement in patients' QOL. The authors note that it will be necessary to confirm the results of this study in additional patients as part of a planned randomized, controlled trial that may help determine the relative true treatment effect vs potential placebo effects.

Brehmer et al. (2019) conducted a prospective, nonrandomized study to evaluate the safety and efficacy of the Vivaer system for the treatment of narrowed nasal valves and to measure changes in the symptoms of nasal obstruction and snoring. The study involved 31 patients presenting with symptoms of nasal obstruction and snoring. Thirty days after the treatment, patients completed two questionnaires measuring nasal obstruction and snoring (NOSE, Snore Outcomes Survey [SOS]). The patients' satisfaction with the treatment was assessed 90 days after the intervention by means of a 10-point Likert scale (1 = completely dissatisfied; 10 = very satisfied). In all patients, an improvement was observed in nasal breathing measured by NOSE score, sleep quality by SOS questionnaire and quality of life as measured by EQ-5D and SNOT-22. The study is limited by the small number of participants, the lack of randomization, control group and comparator, and by the short follow-up period.

### **Professional Societies/Organizations**

The American Academy of Otolaryngology-Head and Neck Surgery (AAO-HNS) guidelines do not address radiofrequency of nasal valve for the treatment of nasal airway obstruction.

The ARS (American Rhinologic Society) does not address radiofrequency of nasal valve for the treatment of nasal airway obstruction.

### **Septoplasty**

Septoplasty is the surgical correction of a deformity of the nasal septum, which is the partition that divides the nasal cavity into two chambers. Septal deformity can be congenital or caused by trauma. The initial method of assessing nasal breathing function is by taking the patient's history. This should include asking patient specifically about the symptoms of nasal obstruction. The side

## Effective 9/15/2025

of obstruction, its severity, frequency, duration, and exacerbating factors are recorded (Corey, et al., 2010; O'Handley, et al., 2010). Physical examination may demonstrate the septum obstructing the nasal airway if anterior. If more posterior, nasal endoscopy or computed tomography (CT) scan may be necessary. The examination may include an assessment by the physician of the appearance of the intranasal anatomy, the cross-sectional area, and the condition of the lining tissues of the nose. The assessment may utilize the aid of a speculum and headlight or head mirror. In addition, endoscopy may be performed, typically with a small flexible scope, but sometimes with a rigid scope (O'Handley, et al., 2011; Corey, et al., 2010).

Nasal obstruction is a feeling of blockage or insufficient air flow through the nose. In cases of nasal obstruction, once the diagnosis has been established, the treatment plan is based on the diagnosis. If the nasal obstruction is secondary to one of the several types of rhinitis, it is treated medically (Han, et al., 2015). This may include nasal steroids, antihistamines, leukotriene inhibitors, mucolytics, oral decongestants, topical decongestants, and/or nasal saline. These medications may be used individually, or in various combinations. The choice of medications is determined by the severity of symptoms, patient's medical history and response to treatment. Oral steroids may be used in select severe cases but are associated with potential significant side effects. Nasal decongestant sprays are utilized for treating severe nasal congestion but should be used sparingly and never for longer than three days, to prevent rebound nasal obstruction. Antibiotics are administered in the case of bacterial infection or acute rhinosinusitis (O'Handley, et al., 2011; Corey, et al., 2010). In cases with septal deviation that is severe enough to cause symptoms of obstruction that are consistent with intranasal physical findings, septoplasty may be necessary.

The nasal turbinates, also known as concha, are thin, curved bony plates located in the nasal cavity. Hypertrophy of the turbinates can cause nasal obstruction and may lead to sinusitis (Mickelson and Benninger, 2001). Septoplasty corrects nasal septum defects or deformities by alteration, splinting, or removal of supporting structures. Resection of the turbinates may also be performed with the septoplasty.

Septoplasty and rhinoplasty procedures may involve the use of grafts, in particular grafts obtained from the septum (Flint, et al., 2010). Harvested septal cartilage may also be used for spreader grafts for stenting of the internal nasal valve angle or batten grafts for bolstering the valve area during repair of the nasal valves.

A degree of septal deviation is present in most individuals without accompanying functional impairment. In these cases, it is not considered medically necessary to correct the condition. Deviations in the septum can alter normal airflow, which may result in mucosal changes. This interference in airflow may also cause middle or inferior turbinate abnormalities. Sinus drainage may also be compromised by deviation of the septum and can result in recurrent or chronic sinusitis. The decision for septoplasty is not typically based solely on the degree of deviation alone, but rather based on the accompanying functional impairment in the form of obstructed nasal breathing and any resulting conditions, such as sinusitis. A case is considered refractory to medical management when there has been a sufficient period of treatment with antibiotics for infections, intranasal steroids, and decongestants (Mickelson and Benninger, 2001).

Rhinosinusitis is defined as symptomatic inflammation of the paranasal sinuses and nasal cavity. Sinusitis is almost always accompanied by inflammation of the contiguous nasal mucosa and therefore is referred to as rhinosinusitis. The American Academy of Otolaryngology–Head and Neck Surgery (AAO-HNS) clinical practice guidelines for adult sinusitis note that rhinosinusitis can be classified by duration (Rosenfeld, et al., 2007):

- Acute: less than four weeks
- Subacute: four to twelve weeks

## Effective 9/15/2025

- Chronic: more than 12 weeks, with or without acute exacerbations
- Acute rhinosinusitis may be further classified by symptom pattern into acute bacterial rhinosinusitis (ABRS) or viral rhinosinusitis
- Recurrent acute rhinosinusitis: four or more acute episodes per year of ABRS, without persistent symptoms between episodes

Surgical intervention is not appropriate for uncomplicated ABRS but may have a role in managing recurrent ABRS and chronic rhinosinusitis when septal deviation is present and a factor in the condition. Septal deviation is an anatomic variant that might predispose to sinus obstruction and inflammation.

There may be situations where a septal deformity may not be causing specific sinus symptoms; however, its presence is preventing surgical access to other intranasal or paranasal areas such as the sinuses or turbinates. Septoplasty may be performed to allow surgical access to these areas so that a medically necessary surgery may be successfully performed.

While the most common cause of epistaxis is idiopathic, it may also be caused by primary neoplasms and traumatic or iatrogenic causes (Simmen and Jones, 2010). Septoplasty may be necessary to allow adequate access to a vessel that is causing recurrent epistaxis. In this situation, a septal deformity may cause abnormal air turbulence, severe mucosal drying and crusting, which can lead to recurrent nosebleeds. Identification of known or suspected bleeding site should be documented when the purpose of surgery is to control epistaxis. Septoplasty may decrease the frequency of the epistaxis episodes (Simmen and Jones, 2010).

Extracorporeal septoplasty is a technique that involves removing the nasal septum, straightening the septum by various techniques, and then reimplanting the septum (Fettman, et al., 2009). It is a procedure that may be utilized to correct very severe, complex nasal deformities. The techniques for straightening the septum include the graft may be drilled, or partial thickness releasing incisions can be scored into the concave side (Fettman, et al., 2009).

### **Balloon Dilation Septoplasty**

Balloon dilation septoplasty has been proposed for treatment of septal deviation. The procedure is proposed for mild cases of septal deviation. In this procedure, a topical anesthetic is used to anesthetize the nasal cavity. A balloon catheter is inserted into the nose and inflated to move the septum to the midline. A traditional septoplasty is the definitive treatment in patients with nasal obstruction due to septal deviation (Bhattacharyya, 2022).

The published scientific evidence for the treatment of septal deviation with balloon dilation septoplasty is lacking.

Balloon dilation septoplasty as a treatment for septal deviation is not included in professional/specialty organizations guidelines.

For information on balloon sinus ostial dilation (balloon sinuplasty) and eustachian tube balloon dilation (ETBD) procedures, please refer to the Balloon Sinus Ostial Dilation for Chronic Sinusitis and Eustachian Tube Dilation Coverage Policy.

### **Professional Societies/Organizations**

The American Academy of Otolaryngology-Head and Neck Surgery (AAO-HNS) reviewed the use of medical management (four-week trial of nasal steroid) prior to septoplasty and were unable to reach consensus regarding value in assessment of surgical candidacy. In some patients, the deviated septa may be severe due to trauma. Some panel surgeons indicated in this instance no amount of medical management would alleviate the nasal obstruction. The panel agreed that if the

## Effective 9/15/2025

surgeon decided to proceed with a preoperative trial of medical management, such a trial does not need to be longer than 4 weeks. The panel felt due to the paucity of specific treatment duration recommendations in the literature, a 4-week trial would be clinically sufficient to assess symptomatic improvement prior to proceeding with a septoplasty (Han, et al., 2015).

### **Cleft Lip/Palate and Nasal Surgery**

Congenital birth defects have a variety of presentations, including cleft nasal deformity, which may be associated with cleft lip and/or cleft palate, where the nasal structures are distorted and abnormally developed. Some congenital abnormalities may not be fully evident until years later. Surgical correction of congenital birth defects may involve staged procedures, flaps, or grafts. Since the clefts of palate and lip vary in size, shape and degree of deformity, the planning of the stages of surgery should be individualized. Nasal correction associated with cleft lip/palate may be delayed until adolescence or performed at the time of initial repair. Children with cleft lip and/or palate usually have a deviated nasal septum due to the asymmetric bony base associated with the defect. Initially, the deviation may not cause airway problems due to the facial cleft providing a patent, low-resistance airway passage. As a result of the repair of the facial cleft, the nasal resistance increases, and the deviated septum may then cause nasal airway obstruction.

### **Professional Societies/Organizations**

The American Cleft Palate-Craniofacial Association (ACP-CA) published consensus-based parameters for evaluation and treatment of patients with cleft lip/palate. Cleft lip deformity is always associated with nasal abnormalities (ACP-CA, 2017; Friedman, et al., 2010). The degree of the nasal abnormality is related to the severity of the cleft lip. Nasal deformities associated with incomplete cleft lips are less severe than those associated with complete lip clefts. The goals of primary rhinoplasty include closure of the nasal floor, repositioning the lower lateral cartilages, and repositioning the alar base. The practice parameters note that (ACP-CA, 2017):

- Although rhinoplasty and nasal septal surgery are usually advocated only after completion of nasal growth, earlier intervention for reasons of airway problems or nasal tip deformity may be indicated.
- Repair of the cleft lip nasal deformity can be accomplished with limited external incisions on the nose.
- The timing of nasal surgery should be discussed with the patient and parents so that the goals are understood, and expectations are realistic.
- The patency of the nasal airway should be considered when planning either nasal reconstructive procedures or secondary velopharyngeal operations such as a pharyngeal flap or other type of pharyngoplasty.
- The nasal deformity is an integral part of the cleft lip. Depending on the severity, primary nasoplasty may be done at the time of the primary lip repair.

### **Septoplasty and Rhinoplasty for Obstructive Sleep Apnea**

There is insufficient literature found to support the efficacy of rhinoplasty as a primary treatment for obstructive sleep apnea (OSA), either performed alone or routinely as part of another procedure such as uvulopalatopharyngoplasty (UPPP). The limited number of studies contains biases related to small sample size, as well as limited follow-up and patient selection.

In a review article, Chen and Kushida (2003) noted that the exact role that obstructed nasal breathing plays in the cause of sleep disorders remains presumptive, and robust clinical studies are needed. Septoplasty may be medically necessary when there is documentation that obstructed nasal breathing due to septal deformity or deviation is causing difficulty tolerating nasal continuous positive airway pressure (CPAP) and it is refractory to medical management. Positive airway pressure (PAP) treatment is considered an effective and widespread treatment of moderate OSA.

# Effective 9/15/2025

## Professional Societies/Organizations

According to the American Academy of Sleep Medicine (AASM) recommendations (Kapur, et al., 2017; AASM, 1999), OSA severity is determined by the severity of daytime sleepiness and of sleep-related obstructive breathing based on overnight monitoring. A severity level is specified for each component. The diagnosis of moderate OSA would include:

- Sleepiness: Unwanted sleepiness or involuntary sleep episodes occur during activities that require some attention, such as concerts, meetings, or presentations. Symptoms produce moderate impairment of social or occupational function.
- Sleep related obstructive breathing events:  $\geq 15$  and  $\leq 30$  events per hour

## Medicare Coverage Determinations

|     | Contractor   | Determination Name/Number                    | Revision Effective Date |
|-----|--|--|-------------------------|
| NCD |  | No National Coverage Determination found     |                         |
| LCD | First Coast Service Options, Inc.                  | Cosmetic and Reconstructive Surgery (L38914) | 05/13/2022              |
| LCD | Novitas Solutions, Inc.                            | Cosmetic and Reconstructive Surgery (L35090) | 7/11/2021               |
| LCD | Palmetto GBA                                       | Cosmetic and Reconstructive Surgery (L33428) | 7/29/2021               |
| LCD | Wisconsin Physicians Service Insurance Corporation | Cosmetic and Reconstructive Surgery (L39051) | 11/30/2023              |
| LCD | Noridian Healthcare Solutions, LLC                 | Plastic Surgery (L35163 & L37020)            | 10/1/2019               |

Note: Please review the current Medicare Policy for the most up-to-date information. (NCD = National Coverage Determination; LCD = Local Coverage Determination)

## Coding Information

### Notes:

1. This list of codes may not be all-inclusive since the American Medical Association (AMA) and Centers for Medicare & Medicaid Services (CMS) code updates may occur more frequently than policy updates.
2. Deleted codes and codes which are not effective at the time the service is rendered may not be eligible for reimbursement.

### Partial Rhinectomy

**Considered Medically Necessary only when coverage for the service is available and when criteria in the applicable policy statements listed above are met. Benefit exclusions and limitations may apply:**

| CPT®* Codes | Description         |
|-------------|---------------------|
| 30150       | Rhinectomy; partial |

# Effective 9/15/2025

## Rhinoplasty

**Considered Medically Necessary only when coverage for the service is available and when criteria in the applicable policy statements listed above are met. Benefit exclusions and limitations may apply:**

| <b>CPT®* Codes</b> | <b>Description</b>  |
|--------------------|---|
| 30400              | Rhinoplasty, primary; lateral and alar cartilages and/or elevation of nasal tip   |
| 30410              | Rhinoplasty, primary; complete, external parts including bony pyramid, lateral and alar cartilages, and/or elevation of nasal tip           |
| 30420              | Rhinoplasty, primary; including major septal repair   |
| 30430              | Rhinoplasty, secondary; minor revision (small amount of nasal tip work)   |
| 30435              | Rhinoplasty, secondary; intermediate revision (bony work with osteotomies)  |
| 30450              | Rhinoplasty, secondary; major revision (nasal tip work and osteotomies)   |
| 30460              | Rhinoplasty for nasal deformity secondary to congenital cleft lip and/or palate, including columellar lengthening; tip only                 |
| 30462              | Rhinoplasty for nasal deformity secondary to congenital cleft lip and/or palate, including columellar lengthening; tip, septum, osteotomies |

## Vestibular Stenosis Repair

**Considered Medically Necessary when criteria in the applicable policy statements listed above are met:**

| <b>CPT®* Codes</b> | <b>Description</b>   |
|--------------------|--|
| 30465              | Repair of nasal vestibular stenosis (eg, spreader grafting, lateral nasal wall reconstruction) |

## **Considered Experimental, Investigational and Unproven:**

| <b>CPT®* Codes</b> | <b>Description</b>  |
|--------------------|---|
| 30468              | Repair of nasal valve collapse with subcutaneous/submucosal lateral wall implant(s)   |
| 30469              | Repair of nasal valve collapse with low energy, temperature controlled, (i.e., radiofrequency) subcutaneous/submucosal remodeling |

## Septoplasty

**Considered Medically Necessary when criteria in the applicable policy statements listed above are met:**

| <b>CPT®* Codes</b> | <b>Description</b>  |
|--------------------|---|
| 30520              | Septoplasty or submucous resection, with or without cartilage scoring, contouring or replacement with graft |
| 30620              | Septal or other intranasal dermatoplasty (does not include obtaining graft)                                 |

## Effective 9/15/2025

| <b>ICD-10-CM<br/>Diagnosis<br/>Codes</b> | <b>Description</b>   |
|--|--|
| J34.2                                    | Deviated nasal septum  |
| J34.89                                   | Other specified disorders of nose and nasal sinuses  |
| M95.0                                    | Acquired deformity of nose   |
| Q30.3                                    | Congenital perforated nasal septum   |
| Q30.8                                    | Other congenital malformations of nose   |
| Q30.9                                    | Congenital malformation of nose, unspecified   |
| Q35.1                                    | Cleft hard palate  |
| Q35.3                                    | Cleft soft palate  |
| Q35.5                                    | Cleft hard palate with cleft soft palate   |
| Q35.9                                    | Cleft palate, unspecified  |
| Q36.0                                    | Cleft lip, bilateral   |
| Q36.1                                    | Cleft lip, median  |
| Q36.9                                    | Cleft lip, unilateral  |
| Q37.0                                    | Cleft hard palate with bilateral cleft lip   |
| Q37.1                                    | Cleft hard palate with unilateral cleft lip  |
| Q37.2                                    | Cleft soft palate with bilateral cleft lip   |
| Q37.3                                    | Cleft soft palate with unilateral cleft lip  |
| Q37.4                                    | Cleft hard and soft palate with bilateral cleft lip  |
| Q37.5                                    | Cleft hard and soft palate with unilateral cleft lip   |
| Q37.9                                    | Unspecified cleft palate with unilateral cleft lip   |
| Q67.4                                    | Other congenital deformities of skull, face, and jaw   |
| R09.81                                   | Nasal congestion   |
| S02.2XXA                                 | Fracture of nasal bones, initial encounter for closed fracture                               |
| S02.2XXB                                 | Fracture of nasal bones, initial encounter for open fracture                                 |
| S02.2XXD                                 | Fracture of nasal bones, subsequent encounter for fracture with routine healing              |
| S02.2XXG                                 | Fracture of nasal bones, subsequent encounter for fracture with delayed healing              |
| S02.2XXK                                 | Fracture of nasal bones, subsequent encounter for fracture with nonunion                     |
| S02.2XXS                                 | Fracture of nasal bones, sequela   |
| S02.92XA                                 | Unspecified fracture of facial bones, initial encounter for closed fracture                  |
| S02.92XB                                 | Unspecified fracture of facial bones, initial encounter for open fracture                    |
| S02.92XD                                 | Unspecified fracture of facial bones, subsequent encounter for fracture with routine healing |
| S02.92XG                                 | Unspecified fracture of facial bones, subsequent encounter for fracture with delayed healing |
| S02.92XK                                 | Unspecified fracture of facial bones, subsequent encounter for fracture with nonunion        |
| S02.92XS                                 | Unspecified fracture of facial bones, sequela  |
| S03.1XXA                                 | Dislocation of septal cartilage of nose, initial encounter                                   |
| S03.1XXD                                 | Dislocation of septal cartilage of nose, subsequent encounter                                |
| S03.1XXS                                 | Dislocation of septal cartilage of nose, sequela   |
| S09.92XA                                 | Unspecified injury of nose, initial encounter  |
| S09.92XD                                 | Unspecified injury of nose, subsequent encounter   |
| S09.92XS                                 | Unspecified injury of nose, sequela  |
| S09.93XA                                 | Unspecified injury of face, initial encounter  |

# Effective 9/15/2025

| ICD-10-CM Diagnosis Codes | Description                                      |
|---------------------------|--|
| S09.93XD                  | Unspecified injury of face, subsequent encounter |
| S09.93XS                  | Unspecified injury of face, sequela              |

## Not Covered or Reimbursable:

| ICD-10-CM Diagnosis Codes | Description               |
|---------------------------|---------------------------|
|                           | All other diagnosis codes |

## Considered Medically Necessary when submitted with a medically necessary procedure:

| CPT®* Codes | Description   |
|-------------|---|
| 20912       | Cartilage graft; costochondral  |
| 21230       | Graft; rib cartilage, autogenous, to face, chin, nose or ear (includes obtaining graft) |
| 21235       | Graft; ear cartilage, autogenous, to nose or ear (includes obtaining graft)             |

## Considered Experimental/Investigational/Unproven when used to report balloon dilation septoplasty:

| CPT®* Codes | Description              |
|-------------|--------------------------|
| 30999       | Unlisted procedure, nose |

\*Current Procedural Terminology (CPT®) ©2024 American Medical Association: Chicago, IL.

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## Revision Details

| Type of Revision | Summary of Changes  | Date       |
|------------------|---|------------|
| Annual Revision  | <ul style="list-style-type: none"><li>Added policy statement for partial rhinectomy.</li><li>Removed policy statements related to RhinAer and Clarifix.</li></ul> | 6/15/2025  |
| Annual Revision  | <ul style="list-style-type: none"><li>No clinical policy statement changes.</li></ul>   | 5/15/2024  |
| Focused Review   | <ul style="list-style-type: none"><li>Updated to new template and formatting standards</li></ul>  | 11/12/2023 |

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